



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Ted A. Loxley

Serial No.: 09/490,162

Group No.: 2812

Filed: January 22, 2000

Examiner: V. Simkovic

For: PROCESS AND APPARATUS FOR CLEANING SILICON WAFERS

Box Non-Fee Amendment  
 Commissioner of Patents and Trademarks  
 Washington, D.C. 20231

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 1001-4 2001  
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## AMENDMENT TRANSMITTAL

- Transmitted herewith is an amendment for this application.

## STATUS

- Applicant is
  - ☒ a small entity — verified statement:
    - ☐ attached.
    - ☒ already filed.
    - ☐ other than a small entity.

## CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United State Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: 4/27/01

Vincent A. Greene  
 (Type or print name of person mailing paper)

Vincent A. Greene  
 (Signature of person mailing paper)

## EXTENSION OF TERM

**NOTE:** "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35)

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time for the total number of months checked below:

Extension  
(months)

Fee for  
small entity

- ☐ one month  
☐ two months  
☐ three months  
☐ four months

Fee \$ \_\_\_\_\_

If an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE OR RATE ADDIT. FEE
TOTAL	30	MINUS	30	=	0	x 10 = \$	0
INDEP.	8	MINUS	8	=	0	x 30 = \$	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						\$	0
TOTAL						\$	
ADDIT. FEE						\$	0

- If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20,
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3,  
The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

(complete (c) or (d) as applicable)

- (c) ☒ No additional fee is required

OR

- (d) ☐ Total additional fee required \$ \_\_\_\_\_

### FEE PAYMENT

5. ☐ Attached is a check in the sum of \$ \_\_\_\_\_
- ☐ Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_
- A duplicate of this transmittal is attached.

### FEE DEFICIENCY

6. ☐ If any additional extension and/or fee is required, this is the request therefor and to charge Account No. \_\_\_\_\_

AND/OR

- ☐ If any additional fee for claims is required, charge Account No. \_\_\_\_\_

Reg. No.: 17,389

Tel. No.: (216) 481-7772

*Vincent A. Greene*

SIGNATURE OF ATTORNEY

Vincent A. Greene

Type or print name of attorney

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T. OKON  
5/4/01

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ted A. Loxley  
Serial No. : 09/490,162  
Filed : January 22, 2000  
For : PROCESS AND APPARATUS FOR CLEANING SILICON WAFERS  
Docket No. : 104  
Examiner : W. Simkovic Art Unit 2812

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A M E N D M E N T

In response to the first Office action in this case set forth in the Office communication mailed March 30, 2001, please amend the above-identified application as follows:

Claim 18, line 1, cancel "of the character described".

Claim 20, line 3, correct the spelling of "front".

R E M A R K S

No fee is required for the present amendment. The number of claims in the case remains the same.

The Examiner has required restriction between (Group I), claims 1-14 and 18-30 drawn to a method, and (Group II), claims 15-17 drawn to an apparatus. In response to that restriction requirement, applicant hereby elects to prosecute claims to the process inventions of Group I -- namely claims 1 to 14 and 18 to 30.

It is requested that the claims to the non-elected apparatus invention (Group II) be held in abeyance and withdrawn from consideration.

Respectfully submitted,

A handwritten signature in cursive script, reading "Vincent A. Greene". The signature is written in dark ink and is positioned above a horizontal line.

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April 25, 2001